

The Net Clinical Benefit of Warfarin Anticoagulation in Atrial Fibrillation

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Background: Guidelines recommend warfarin use in patients with atrial fibrillation solely on the basis of risk for ischemic stroke without antithrombotic therapy. These guidelines rely on ischemic stroke rates observed in older trials and do not explicitly account for increased risk for hemorrhage.

Objective: To quantify the net clinical benefit of warfarin therapy in a cohort of patients with atrial fibrillation.

Design: Mixed retrospective and prospective cohort study of patients with atrial fibrillation between 1996 and 2003.

Setting: An integrated health care delivery system.

Patients: 13 559 adults with nonvalvular atrial fibrillation.

Measurements: Warfarin exposure, patient characteristics, CHADS₂ score (1 point for each of congestive heart failure, hypertension, age, and diabetes and 2 points for stroke), and outcome events were ascertained from health plan records and databases. Net clinical benefit was defined as the annual rate of ischemic strokes and systemic emboli prevented by warfarin minus intracranial hemorrhages attributable to warfarin, multiplied by an impact weight. The base-case impact weight was 1.5, reflecting the greater clinical impact of intracranial hemorrhage versus thromboembolism.

Results: Patients accumulated more than 66 000 person-years of follow-up. The adjusted net clinical benefit of warfarin for the

cohort overall was 0.68% per year (95% CI, 0.34% to 0.87%). Adjusted net clinical benefit was greatest for patients with a history of ischemic stroke (2.48% per year [CI, 0.75% to 4.22%]) and for those 85 years or older (2.34% per year [CI, 1.29% to 3.30%]). The net clinical benefit of warfarin increased from essentially zero in CHADS₂ stroke risk categories 0 and 1 to 2.22% per year (CI, 0.58% to 3.75%) in CHADS₂ categories 4 to 6. The patterns of results were preserved when weighting factors for intracranial hemorrhage of 1.0 and 2.0 were used.

Limitations: Residual confounding is a possibility. Some outcome events were probably missed by the screening algorithm or when medical records were unavailable.

Conclusion: Expected net clinical benefit of warfarin therapy is highest among patients with the highest untreated risk for stroke, which includes the oldest age category. Risk assessment that incorporates both risk for thromboembolism and risk for intracranial hemorrhage provides a more quantitatively informed basis for the decision on antithrombotic therapy in patients with atrial fibrillation.

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Warfarin anticoagulation is very efficacious in preventing thromboembolism (primarily ischemic stroke but also systemic thromboembolism) in patients with atrial fibrillation and is highly effective in practice (1, 2). Nonetheless, warfarin therapy increases the risk for major hemorrhage and is burdensome for both physicians and patients. Therefore, clinical guidelines (3, 4) recommend warfarin only for patients with atrial fibrillation who are considered to be at intermediate or high risk for ischemic stroke. However, these risk-based anticoagulation guidelines have substantial limitations. First, they do not explicitly account for the risk for fatal and disabling hemorrhage due to warfarin. Second, they are primarily based on studies conducted more than 15 years ago. Recent studies (2, 5, 6) of patients with atrial fibrillation suggest a lower absolute risk for stroke while not receiving warfarin therapy, perhaps reflecting better treatment of hypertension or other risk factors. Finally, the net clinical benefit of risk-based recommendations for anticoagulation in patients with atrial fibrillation has not been demonstrated in large populations followed for long periods. In our observational study, we quantify the net clinical benefit of warfarin anticoagulation, defined as the estimated reduction in rate of thromboembolism, mainly ischemic stroke, minus 1.5

times the estimated increase in rate of intracranial hemorrhage attributable to warfarin therapy in standard stroke risk subgroups in the community-based cohort of patients in the ATRIA (AnTicoagulation and Risk Factors In Atrial Fibrillation) Study.

METHODS

Study Population

The ATRIA Study cohort consists of 13 559 adults with diagnosed nonvalvular atrial fibrillation who received care within Kaiser Permanente of Northern California, a

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Context

Guidelines for prescribing anticoagulants in atrial fibrillation do not use contemporary stroke rates or account for intracranial bleeding risk.

Contribution

The authors studied 6-year rates of ischemic stroke and intracranial hemorrhage in 13 559 adults with nonvalvular atrial fibrillation. They calculated ischemic stroke rates in patients receiving and not receiving warfarin (treatment benefit) and intracranial hemorrhage rates (treatment harm). Benefit minus harm (net treatment benefit) was highest in patients with previous stroke, age older than 84 years, and others with high stroke risk.

Caution

Patients received warfarin according to clinical judgment, not randomly.

Implication

Age and other stroke risk factors should drive the decision to use warfarin in atrial fibrillation.

—The Editors

large integrated health care delivery system. Cohort assembly is described in detail elsewhere (2, 7). In brief, we identified patients with a diagnosis of atrial fibrillation between 1 July 1996 and 31 December 1997 by searching outpatient databases for internal medicine or cardiology visits in which an International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM), diagnosis of atrial fibrillation (427.31) was assigned and by searching electrocardiographic databases for a diagnosis of atrial fibrillation. The date of the first diagnosis of atrial fibrillation during the period of cohort assembly was considered the patient's index date. Cohort assembly was finalized by November 1998, and the cohort was followed through 30 September 2003 with a combination of retrospective (back to July 1996) and prospective (through September 2003) review of health plan databases and medical records. Patients who died or withdrew from the health plan during follow-up were censored at their date of death or on the last day of the month in which they terminated their membership. Date of death was ascertained from health plan clinical or administrative files, the California Automated Mortality Linkage System, or the Social Security Administration Death Master File. The institutional review boards at Massachusetts General Hospital and the Kaiser Foundation Research Institute approved the research.

Patient Characteristics

We searched databases of clinical inpatient and ambulatory visits (outpatient clinic and emergency department) during the 5 years before each patient's index date to identify previously diagnosed ischemic stroke, heart failure, cor-

onary heart disease, and hypertension by using relevant ICD-9-CM codes (2). We used a validated, comprehensive health plan diabetes registry to identify patients with diabetes mellitus. Ascertainment of these stroke risk factors was validated against review of samples of outpatient medical records; crude agreement was high (78% to 96%), and corresponding κ statistics ranged from 0.51 to 0.89. Of note, stroke risk prediction based on risk factors ascertained in the ATRIA Study was in good agreement with risk schemes generated by pooled randomized trial and chart-based cohort study data (2, 8–10). Information from medical care received at both health plan and non-health plan facilities was obtained through these databases. Data on age and sex were obtained from administrative databases.

Warfarin Exposure

We determined warfarin exposure on the basis of information from automated clinical, pharmacy, and laboratory databases by using methods described elsewhere (2). We validated this computerized approach in 1207 patients by comparing it with warfarin status documented in their medical record at the time of an outcome event; the resulting κ statistic was 0.84. Nearly all discrepancies were due to transient discontinuation of warfarin treatment. If medical chart review of an outcome event showed that the patient stopped receiving warfarin within 5 days before the event, the event was considered to take place while the patient was receiving warfarin. For patients considered to be receiving warfarin, an adapted linear interpolation method was used to determine the person-time spent in the therapeutic international normalized ratio (INR) range of 2.0 to 3.0 (11). If the interval between consecutive INR measurements was greater than 8 weeks, the INR person-time for this period was considered "not available."

Outcome Assessment**Thromboembolic Events**

We searched hospitalization and billing claims databases through 30 September 2003 for primary ICD-9-CM discharge diagnoses that indicated potential thromboembolism, including ischemic stroke and other systemic embolism (ICD-9-CM codes available on request) (2). For both potential thromboembolic events and hemorrhagic events, medical record analysts obtained the relevant medical records by using a structured protocol. We did not investigate hospitalizations with the selected discharge diagnosis codes in a secondary position, because such hospitalizations rarely were prompted by new thromboembolic events. We estimate that our rates of ischemic stroke would have been increased relatively by 6% had we reviewed all such hospitalizations (2). We defined a validated ischemic stroke as a sudden neurologic deficit lasting more than 24 hours, corresponding to a vascular territory in the absence of primary hemorrhage, that was not explained by other causes (for example, trauma or infection). We defined a valid peripheral embolism as being identified by radio-

graphic imaging, intraoperative examination, or pathologic findings (with no atherosclerotic disease in the affected artery). Thromboembolic events occurring after hospital admission, generally as a complication of medical care, were not included as outcome events. Two members of the physician outcomes review committee reviewed all potential thromboembolic events by using a formal protocol. Disagreements were resolved by a third committee member or by a consulting neurologist, if needed.

Intracranial Hemorrhage

We searched hospitalization and billing databases for primary and secondary discharge diagnoses of intracranial hemorrhage, including intraparenchymal, subdural, subarachnoid, and other hemorrhage (ICD-9-CM codes available on request). We excluded intracranial hemorrhage associated with a concomitant discharge diagnosis of major trauma (ICD-9-CM codes 852.1, 852.3, 852.5, and 853.1) and events not leading to hospitalization or those that occurred as a complication of a hospitalization for another problem.

Net Clinical Benefit

We defined the core net clinical benefit of warfarin therapy in atrial fibrillation as the annualized rate of thromboembolic events (TE rate) prevented minus the annualized rate of intracranial hemorrhages (ICH rate) induced, multiplied by a weighting factor. The following equation illustrates this definition:

$$\begin{aligned} \text{Net Clinical Benefit} = & (\text{TE rate}_{\text{off warfarin}} \\ & - \text{TE rate}_{\text{on warfarin}}) - \text{Weight} \times (\text{ICH rate}_{\text{on warfarin}} \\ & - \text{ICH rate}_{\text{off warfarin}}) \end{aligned}$$

The weighting factor reflects the relative impact, in terms of death and disability, of an intracranial hemorrhage (including intracerebral, subdural, subarachnoid, and other hemorrhages) while receiving warfarin versus experiencing an ischemic stroke while not receiving warfarin (12). We assigned our base case a weighting factor of 1.5, reflecting outcomes in the ATRIA Study cohort (13, 14). We also provide additional sensitivity analyses by using weighting factors of 1.0 and 2.0.

For 10% of potential thromboembolic events and intracranial hemorrhages identified by ICD-9-CM codes, the patients' medical records were unavailable or contained insufficient information to determine whether a valid event had occurred. We did not include these events. For events with missing clinical information, the ratio of patients receiving warfarin to those not receiving warfarin was similar to the ratio for validated events. Making the improbable assumption that all such potential events met our validity criteria increased our estimate of unadjusted overall net clinical benefit by only 0.07% per year.

Statistical Analysis

We calculated incidence rates of thromboembolism and intracranial hemorrhage as the number of events per 100 person-years of follow-up. Because individual participants in the ATRIA Study cohort could have alternating periods of receiving and not receiving warfarin, we used Poisson regression models with generalized estimating equations to estimate the net clinical benefit adjusted for stroke and intracranial hemorrhage risk factors. Our models treated risk factors as time-varying covariates. The overall model included warfarin use and risk factors. For the analysis of subgroups, we included an interaction term between warfarin use and the risk factor defining the subgroup. For estimation of overall net clinical benefit, the model did not include an interaction term with warfarin. The risk factors used as control variables included age (4 categories: <65 years, 65 to 74 years, 75 to 84 years, and ≥ 85 years), sex, previous ischemic stroke, hypertension, diabetes, heart failure, and coronary disease. We used the marginal prediction approach to obtain the adjusted absolute rates of thromboembolism and intracranial hemorrhage in patients who were receiving and not receiving warfarin (15, 16). To obtain the marginal adjusted means, we averaged the predicted rates generated from the models by first assuming all observations were in patients receiving warfarin and then assuming all observations were in patients not receiving warfarin. To obtain the 95% CIs of adjusted rates, rate differences between groups who were receiving and not receiving warfarin, and net clinical benefit, we used a bootstrap sample of 1000 replications (17). The analyses stratified by the CHADS₂ (congestive heart failure/hypertension/age/diabetes/prior stroke) risk score were not further adjusted because CHADS₂ is itself a risk adjustment scheme (Appendix Table, available at www.annals.org). We performed all analyses by using SAS software, version 9.1.3 (SAS Institute, Cary, North Carolina).

Role of the Funding Source

The National Institute on Aging; the National Heart, Lung, and Blood Institute; and the Eliot B. and Edith C. Shoolman Fund of the Massachusetts General Hospital provided funding for the study. The funding sources had no role in study design, data collection, data analysis, data interpretation, or writing of the manuscript. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

RESULTS

At baseline, the median age of our cohort of 13 559 persons with atrial fibrillation was 73 years (interquartile range, 66 to 80 years). Overall, 20.2% had no major risk factors for ischemic stroke with atrial fibrillation, including age 75 years or older, previous ischemic stroke, diabetes mellitus, hypertension, or congestive heart failure (8). We recorded the events of the patients' clinical course over a median of 6 years, accumulating more than 66 000 person-

years of observation in aggregate. During follow-up, 30% of patients developed at least 1 more stroke risk factor.

At entry to the cohort, 53% of patients were receiving warfarin. At baseline, compared with patients not receiving warfarin, patients receiving warfarin were less likely to be 75 years or older or to be women (Table 1). Overall, patients receiving warfarin tended to have a higher prevalence of other stroke risk factors and were less likely to have had a previous bleeding event (Table 1). During the study period, an additional 15.5% received warfarin for at least 30 days. Fourteen percent of INR tests for specific patients were separated by more than 8 weeks, thereby precluding interpolations to estimate time in various INR ranges for that 14% of tests. After we excluded these noninterpolatable INR test intervals, the percentage of time in the therapeutic INR range (INR, 2.0 to 3.0) in patients receiving warfarin was 65.4%, with 24.2% below an INR of 2.0 and 10.4% above an INR of 3.0.

During follow-up, we identified 1092 valid thromboembolic events in the ATRIA Study cohort (1017 ischemic strokes and 75 systemic emboli): 407 in patients receiving warfarin and 685 in patients not receiving warfarin at the time of their event. We also identified 299 valid intracranial hemorrhagic events, including 139 intracerebral hemorrhages, 101 subdural hemorrhages, 45 subarachnoid or other hemorrhages, and 14 intracranial hemorrhages of unknown type. Among patients taking warfarin, 193 intracranial hemorrhage events occurred (98 intracerebral, 63 subdural, 24 subarachnoid or other intracranial, and 8 of unknown type).

The overall unadjusted annual rate of ischemic stroke or systemic embolism was 2.10% (95% CI, 1.96% to 2.28%) in patients not receiving warfarin therapy versus 1.27% (CI, 1.19% to 1.44%) in patients receiving warfarin therapy. The overall unadjusted annual rate of intracranial hemorrhage was 0.58% (CI, 0.51% to 0.68%) in patients receiving warfarin therapy and 0.32% (CI, 0.27% to 0.39%) in patients not receiving warfarin therapy. After

adjustment for age, sex, previous stroke, diagnosed hypertension, diagnosed heart failure, diagnosed diabetes, and coronary artery disease and incorporation of a weighting factor of 1.5 for intracranial hemorrhages (our base case), the overall adjusted net clinical benefit of warfarin was 0.68 (CI, 0.34 to 0.87) adverse events prevented per 100 person-years (Tables 2 and 3).

The benefits of warfarin increased as the absolute risk for thromboembolism increased, whereas the harms increased only moderately. Rates of thromboembolism in patients not receiving warfarin were clearly higher in the presence of any risk factor, with age 85 years or older and previous stroke conferring the greatest risk for subsequent ischemic stroke (Table 2). Also, the rates of thromboembolism were lower in patients receiving warfarin than in patients not receiving warfarin in the presence of any risk factor. However, the absolute reduction in rates of thromboembolism attributable to warfarin therapy in any risk factor category decreased as the absolute risk while not receiving warfarin therapy decreased. Although the adjusted rate of intracranial hemorrhage while not receiving warfarin was substantially higher among older persons and among those with a history of ischemic stroke, the absolute increase in the rate of intracranial hemorrhage associated with warfarin use stayed within a narrow range across the subgroups.

A history of ischemic stroke was the strongest risk factor for future ischemic stroke and also a strong risk factor for future intracranial hemorrhage in patients receiving warfarin. Nonetheless, using the base-case weighting factor of 1.5 for intracranial hemorrhage, the impact of warfarin on thromboembolism risk was much larger than its effect on intracranial hemorrhage risk, such that the adjusted net clinical benefit was 2.48% per year (CI, 0.75% to 4.22%) among patients with a history of stroke as opposed to 0.56% per year (CI, 0.22% to 0.72%) among those without a history of stroke (Table 3). The adjusted point estimates of net clinical benefit of warfarin therapy were higher in all other risk factor–positive categories (older age, female sex, diagnosed heart failure, and diagnosed diabetes), except for diagnosed hypertension, in which the net clinical benefit was similar for patients with and without hypertension. These differences in estimates of net clinical benefit largely reflect the increased absolute benefit of warfarin therapy in reducing the rate of thromboembolism in the risk factor–positive groups.

Net clinical benefit increased monotonically with age, regardless of the weighting factor for intracranial hemorrhage (Table 3 and Figure, top). The benefit was near zero for patients younger than 75 years. Indeed, the point estimate suggests a small net harm in patients younger than 65 years, although the accompanying CI includes zero effect. The net clinical benefit of warfarin also increased substantially as the CHADS₂ risk score increased (Table 3 and Figure, bottom).

Using impact weights of 1.0 or 2.0 for the difference in rates of intracranial hemorrhage between patients receiv-

Table 1. ATRIA Cohort Baseline Characteristics, by Warfarin Status

Characteristic	Baseline Warfarin Status, n (%)	
	Not Receiving Warfarin (n = 6353)	Receiving Warfarin (n = 7206)
Age ≥75 y	3090 (48.6)	3051 (42.3)
Female	2857 (45.0)	2938 (40.8)
Past ischemic stroke	366 (5.8)	886 (12.3)
Congestive heart failure	1658 (26.1)	2494 (34.6)
Hypertension	3147 (49.5)	3760 (52.2)
Diabetes mellitus	980 (15.4)	1359 (18.9)
Coronary artery disease	1716 (27.0)	2210 (30.7)
Past intracranial bleeding	57 (0.9)	34 (0.5)
Past gastrointestinal bleeding	381 (6.0)	222 (3.1)
Past hematuria	125 (2.0)	129 (1.8)
Past other bleeding	64 (1.0)	77 (1.1)

ATRIA = AnTicoagulation and Risk Factors In Atrial Fibrillation.

Table 2. Adjusted Rates and Rate Differences for Thromboembolism and ICH, by Warfarin Status*

Risk Factor	Thromboembolism					ICH				
	Not Receiving Warfarin		Receiving Warfarin		Difference in Rate of Thromboembolism†	Not Receiving Warfarin		Receiving Warfarin		Difference in Rate of ICH†
	Person-Years	Annual Rate per 100 Person-Years	Person-Years	Annual Rate per 100 Person-Years		Person-Years	Annual Rate per 100 Person-Years	Person-Years	Annual Rate per 100 Person-Years	
All patients	32 611	2.29	32 130	1.25	1.04	32 994	0.33	33 415	0.57	0.24
Age										
<65 y	7752	0.64	5006	0.59	0.05	7762	0.10	5122	0.30	0.20
65–74 y	8421	1.57	10 131	0.98	0.59	8482	0.12	10 379	0.44	0.32
75–84 y	11 116	2.80	13 651	1.54	1.26	11 317	0.43	14 327	0.60	0.17
≥85 y	5322	4.70	3342	1.84	2.86	5433	0.79	3587	1.14	0.35
Sex										
Male	18 649	1.70	18 809	1.13	0.57	18 781	0.32	19 408	0.61	0.29
Female	13 962	3.09	13 320	1.39	1.70	14 214	0.34	14 007	0.51	0.17
Past stroke										
No	31 133	1.98	29 232	1.09	0.89	30 923	0.29	29 286	0.51	0.22
Yes	1478	6.57	2897	3.46	3.11	2072	0.75	4128	1.16	0.42
Hypertension										
No	14 307	1.73	12 583	0.78	0.95	14 406	0.30	12 896	0.44	0.14
Yes	18 304	2.68	19 547	1.57	1.11	18 589	0.35	20 519	0.66	0.31
CHF										
No	24 090	1.84	20 088	1.10	0.74	24 320	0.29	20 872	0.51	0.22
Yes	8521	3.28	12 041	1.58	1.70	8674	0.42	12 543	0.70	0.28
Diabetes										
No	27 395	2.06	25 500	1.08	0.98	27 625	0.32	26 453	0.58	0.26
Yes	5216	3.29	6629	1.98	1.31	5369	0.38	6962	0.53	0.16
CHADS₂ score										
0	6143	0.37	3414	0.38	−0.01	6133	0.05	3413	0.12	0.07
1	10 262	1.19	9083	0.59	0.59	10 191	0.22	9082	0.48	0.27
2	9741	2.54	10 810	1.26	1.28	9677	0.40	10 897	0.61	0.20
3	4761	3.89	5965	1.86	2.03	4835	0.64	6236	0.61	−0.03
4–6	1704	6.34	2857	3.25	3.08	2158	0.51	3787	1.08	0.57

CHADS₂ = congestive heart failure/hypertension/age/diabetes/prior stroke; CHF = congestive heart failure; ICH = intracranial hemorrhage.
 * For all rates and rate differences, except those for CHADS₂, strata are adjusted to account for the effects of the remaining risk factors listed in this table plus coronary artery disease (see Methods section). The rates presented for CHADS₂ are stratum-specific crude rates (that is, they are not adjusted further because the strata themselves are based on multivariable models).
 † Difference in rate of thromboembolism is calculated as (thromboembolism rate_{off warfarin}) − (thromboembolism rate_{on warfarin}). Difference in rate of ICH is calculated as (ICH rate_{on warfarin}) − (ICH rate_{off warfarin}).

ing and not receiving warfarin changed our estimates of net clinical benefit in the expected direction. The overall pattern of results was largely preserved, reflecting the greater importance of the effect of warfarin on thromboembolism rates relative to its effect on intracranial hemorrhage rates (Table 3).

DISCUSSION

To our knowledge, we provide the first quantitative, empirically based description of the net clinical benefit of warfarin treatment for patients with atrial fibrillation according to standard risk strata. We observed the clinical care of the large ATRIA Study cohort, which provided more than 66 000 person-years of follow-up, with about half the person-years observed during warfarin treatment. We observed 1092 thromboembolic and 299 intracranial

hemorrhagic events, all validated by physician review, allowing analyses of both the preventive benefit and the toxicity of warfarin treatment. In general, our assessment of net clinical benefit supports previous risk analyses, because risk for thromboembolism drives net clinical benefit (4, 8). However, our estimates of clinical benefit are generally smaller than those of previous studies for 2 reasons. First, and most important, the absolute risk for thromboembolism among patients in the ATRIA Study cohort not receiving warfarin was lower than older estimates of absolute risk, which were primarily derived from previous randomized clinical trials. Second, we explicitly subtracted an estimate of harm due to warfarin, here estimated as 1.5 times the net increase in rate of intracranial hemorrhage. Our findings emphasize the sizable benefit of warfarin therapy expected in patients at higher risk for thromboembolism

Table 3. Annual Net Clinical Benefit of Warfarin Therapy Overall and by Individual Risk Factors, Using Different Weights for ICH

Risk Factor	Net Clinical Benefit (95% CI)*		
	ICH Weight = 1.5 (Base Case)	ICH Weight = 1	ICH Weight = 2
All patients	0.68 (0.34 to 0.87)	0.80 (0.51 to 0.96)	0.56 (0.18 to 0.77)
Age			
<65 y	-0.25 (-0.65 to 0.08)	-0.15 (-0.48 to 0.14)	-0.35 (-0.81 to 0.05)
65-74 y	0.11 (-0.37 to 0.40)	0.27 (-0.16 to 0.55)	-0.05 (-0.58 to 0.27)
75-84 y	1.00 (0.44 to 1.40)	1.09 (0.59 to 1.44)	0.92 (0.29 to 1.34)
≥85 y	2.34 (1.29 to 3.30)	2.51 (1.62 to 3.37)	2.17 (0.93 to 3.18)
Sex			
Male	0.13 (-0.23 to 0.37)	0.28 (-0.03 to 0.49)	-0.02 (-0.43 to 0.25)
Female	1.44 (0.90 to 1.75)	1.52 (1.02 to 1.79)	1.35 (0.75 to 1.72)
Past stroke			
No	0.56 (0.22 to 0.72)	0.67 (0.37 to 0.81)	0.45 (0.06 to 0.64)
Yes	2.48 (0.75 to 4.22)	2.69 (1.12 to 4.42)	2.27 (0.36 to 4.09)
Hypertension			
No	0.74 (0.35 to 1.02)	0.81 (0.47 to 1.06)	0.66 (0.22 to 0.99)
Yes	0.65 (0.20 to 0.90)	0.80 (0.40 to 1.04)	0.49 (-0.01 to 0.77)
CHF			
No	0.41 (0.09 to 0.66)	0.52 (0.24 to 0.75)	0.30 (-0.08 to 0.58)
Yes	1.28 (0.56 to 1.68)	1.42 (0.76 to 1.78)	1.14 (0.35 to 1.58)
Diabetes			
No	0.59 (0.25 to 0.82)	0.72 (0.42 to 0.92)	0.46 (0.06 to 0.72)
Yes	1.07 (0.15 to 1.59)	1.15 (0.32 to 1.63)	0.99 (-0.03 to 1.56)
CHADS₂ score			
0	-0.11 (-0.44 to 0.20)	-0.07 (-0.38 to 0.20)	-0.14 (-0.53 to 0.21)
1	0.19 (-0.27 to 0.45)	0.33 (-0.06 to 0.57)	0.06 (-0.50 to 0.34)
2	0.97 (0.43 to 1.41)	1.07 (0.61 to 1.45)	0.87 (0.26 to 1.35)
3	2.07 (1.21 to 2.79)	2.06 (1.26 to 2.72)	2.09 (1.12 to 2.85)
4-6	2.22 (0.58 to 3.75)	2.51 (1.04 to 4.01)	1.94 (0.19 to 3.52)

CHADS₂ = congestive heart failure/hypertension/age/diabetes/prior stroke; CHF = congestive heart failure; ICH = intracranial hemorrhage.

* Net clinical benefit is calculated as annualized (thromboembolism rate_{off warfarin} - thromboembolism rate_{on warfarin}) - weight × (ICH rate_{on warfarin} - ICH rate_{off warfarin}). Weight = 1, 1.5, or 2.

because the absolute increase in risk for intracranial hemorrhage due to warfarin therapy remains fairly stable across thromboembolic risk categories. Patients with a history of ischemic stroke and those in the highest CHADS₂ categories benefit most from anticoagulation. By contrast, patients in the lowest risk categories seem to gain little. In particular, our results validate recent guidelines (3, 4) restricting strong recommendations for anticoagulant therapy to patients with a CHADS₂ score of 2 or more.

A notable finding was that the average net benefit of warfarin was greater the older the patient. Indeed, the point estimate for the net clinical benefit for the oldest age group (≥85 years) was substantially greater than the benefit estimated for the group between 75 and 84 years of age. This finding suggests that age 85 years or older may be an additional risk indication for anticoagulation. These age effects largely reflect the increased absolute risk for stroke with atrial fibrillation in patients not receiving warfarin as age increases and the relatively constant absolute net increase in risk for intracranial hemorrhage with increasing

age. These results are consistent with the recent BAFTA (Birmingham Atrial Fibrillation Treatment of the Aged Study) trial (18), in which patients with atrial fibrillation whose average age was 82 years benefited substantially from warfarin therapy. Although patients in the ATRIA Study cohort are probably less highly selected than those in the BAFTA trial, they were undoubtedly considered reasonably safe candidates for anticoagulation by their treating physicians, and our results should be interpreted in that context.

Our results reflect the clinical care provided to ATRIA cohort members. The quality of anticoagulation was very good, with patients receiving warfarin in the therapeutic INR range of 2 to 3 65% of the time (excluding the 14% of time when time in therapeutic range results could not be calculated) (19). Although we do not have explicit information on the use of aspirin among cohort members not receiving warfarin, a previous case-control study nested within the ATRIA cohort revealed that approximately half were receiving aspirin regularly (2). Our estimate of overall

adjusted stroke-preventive effectiveness was 45%, modestly lower than that reported for the meta-analysis of pooled trials testing warfarin versus aspirin among patients with atrial fibrillation (20).

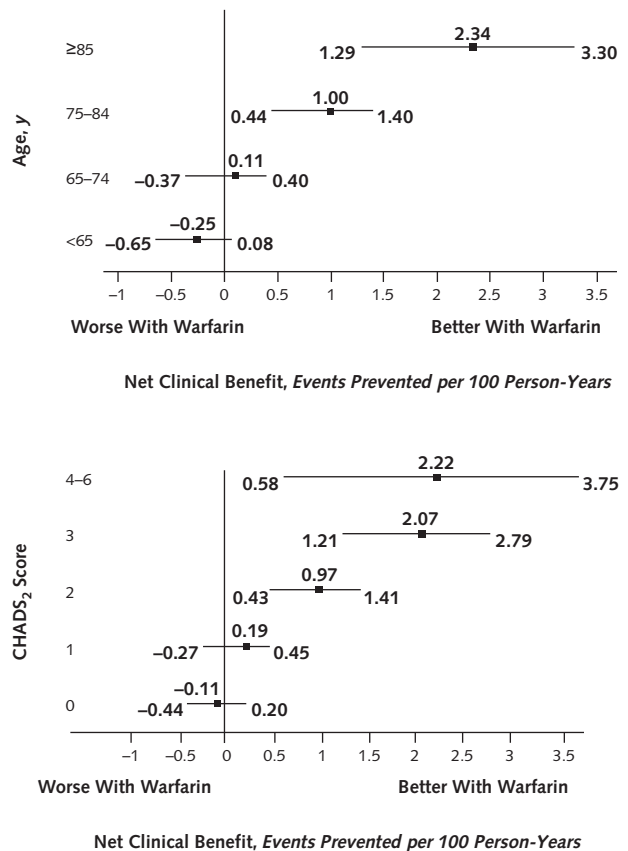
The overall unadjusted rate of ischemic stroke we observed in patients not receiving warfarin therapy, 2.10% per year, is substantially lower than the overall rate of 4.5% per year observed in the pooled results of the first 5 randomized trials of warfarin for patients with atrial fibrillation. These trials were conducted up to 2 decades ago (1). Likewise, the risk-stratified stroke rates in patients who were not receiving warfarin in the ATRIA cohort are substantially lower than those in the pooled trial data and also lower than those in the original hospitalized cohort in which the CHADS₂ stroke risk score was validated (1, 8). Several recent trials of antithrombotic therapy for atrial fibrillation (6, 21–23) have reported lower-than-expected ischemic stroke rates. These findings suggest that the risk for ischemic stroke among patients with atrial fibrillation has been decreasing in recent years, perhaps reflecting better control of hypertension and other risk factors (5). Decreasing stroke rates lessen the net clinical benefit of anticoagulation, thereby increasing the importance of high-quality anticoagulation (19). These decreasing rates also highlight the pressing need for research on more accurate prediction of stroke risk in patients with atrial fibrillation to allow more discriminating use of anticoagulants (9).

Our definition of net clinical benefit balances the preventive impact of warfarin on risk for thromboembolism, mainly ischemic stroke, versus the impact of increased risk for intracranial hemorrhage. The outcome of intracranial hemorrhage while receiving warfarin is generally worse than the outcome of atrial fibrillation-related ischemic stroke while not receiving warfarin, although both result in death or disability in most cases (13, 14, 24). Although intracerebral hemorrhage is particularly fatal, many of our patients with intracranial hemorrhage had subdural hematomas, whose outcomes are similar to those of ischemic stroke (14, 25). Therefore, we weighted intracranial hemorrhage 50% worse than ischemic stroke. Although such a weighting is somewhat arbitrary, we provide estimates of net clinical benefit for weights of 1.0 and 2.0 as well, and the general pattern of results persists. Unlike other studies (26), ours did not include extracranial hemorrhages in the definition of net clinical benefit, because intracranial hemorrhages account for most fatal and disabling adverse events attributable to vitamin K antagonist therapy (14). Our estimates of net clinical benefit can provide a useful anchoring point for discussions about antithrombotic therapy in patients with atrial fibrillation.

The ATRIA Study has the advantages of distinctly long-term follow-up and many outcome events, allowing assessment of stratum-specific risks for thromboembolism and risks for intracranial hemorrhage in patients receiving and not receiving warfarin therapy. Similar analyses are not

available from individual or pooled randomized trials. However, our nonrandomized observational assessment of the effect of warfarin is susceptible to confounding, particularly by indication and by contraindication, which will lead to underestimates of efficacy and toxicity, respectively (27). We believe these concerns are lessened by the fact that only a limited number of clinical risk factors for stroke or intracranial hemorrhage in patients with atrial fibrillation have been identified and that we had good measurements of them (1, 4, 9, 28). Although our use of health plan databases may lead to some misclassification of comorbid conditions, our validation studies using medical chart review have been reassuring. In addition, these risk factors, as assessed in our cohort, did confer increased risk for thromboembolism, as in previous studies (2). The results we report, other than those for CHADS₂ strata, are adjusted for these risk factors. But, as with all observational analyses of medical interventions, residual confounding is a concern.

Figure. The net clinical benefit of warfarin, by age (top) and CHADS₂ score (bottom).



Net clinical benefit is plotted as the adjusted, weighted adverse events prevented per 100 person-years by warfarin treatment, according to age group and CHADS₂ score. Intracranial hemorrhage is weighted as 1.5 times the value of thromboembolism (see Methods section). Stratified rates for CHADS₂ score are not further adjusted. CHADS₂ = congestive heart failure/hypertension/age/diabetes/prior stroke.

We generated adjusted stratum-specific estimates of net clinical benefit by averaging rates of events over patient profiles within strata, which complicates the interpretation of comparisons across strata because the distribution of patient profiles will differ. However, when we used a uniform patient profile to generate adjusted rate estimates for all strata, the results were very similar to those reported in Table 2 (data not shown). We also may have missed some valid events either because they did not meet our ICD-9-CM codes screening criteria (2) or because clinical information was missing for a potential event. However, sensitivity analyses demonstrate that missing events would not have markedly altered our results.

In conclusion, we provide quantitative assessments of the net clinical benefit of warfarin anticoagulation within a usual care setting among patients with atrial fibrillation according to stroke risk strata. The absolute reduction in absolute stroke risk by warfarin increased in higher stroke risk categories, whereas the absolute increase in risk for intracranial hemorrhage due to warfarin treatment across strata of clinical characteristics was fairly consistent. Our estimates of stroke risk reduction due to warfarin are lower than reductions seen in older clinical trials. Nonetheless, our findings highlight the potential sizable net clinical benefit of warfarin anticoagulation for patients with atrial fibrillation at higher risk for ischemic strokes.

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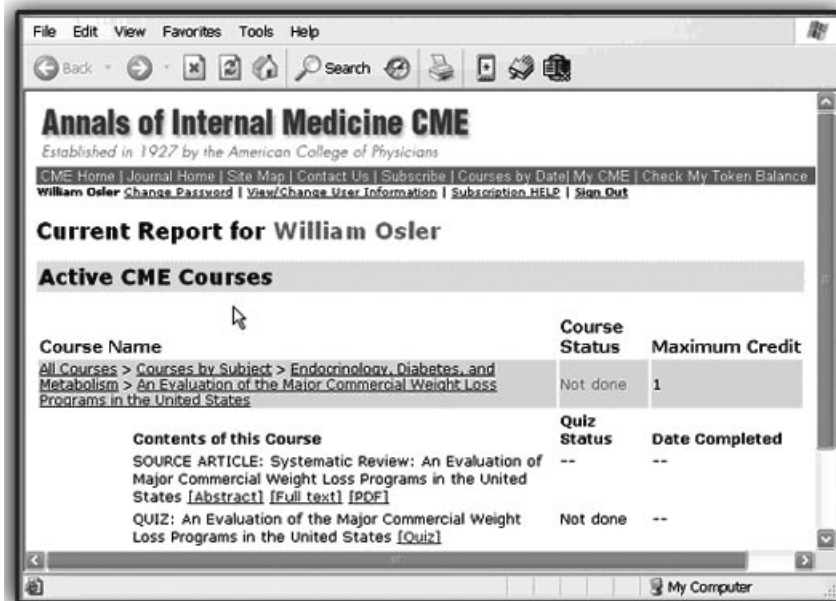
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Appendix Table. CHADS₂ Stroke Risk Classification Scheme for Patients With Atrial Fibrillation*

Risk Factor	Letter Assigned	Point Value
Congestive heart failure	C	1
Hypertension	H	1
Age ≥ 75 y	A	1
Diabetes mellitus	D	1
History of ischemic stroke or transient ischemic attack	S	2

* CHADS₂ is an acronym for the risk factors and their scoring. A patient's CHADS₂ score is the sum of the points assigned for each risk factor. For example, a patient age 85 years (+1) with hypertension (+1) and previous stroke (+2) but none of the other risk factors would have a CHADS₂ score of 4. Possible scores range from 0 to 6. The higher the score, the higher the patient's risk for stroke. Information from reference 8.

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